Carnegie Mellon

School of Computer Science

Deep Reinforcement Learning and Control

### Learning from demonstrations and task rewards, Off policy RL, Adversarial Imitation learning

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## Learning from demonstrations

#### Pros

- Can much accelerate trial-and-error learning by suggesting good actions to try
- Can help us train initial safe policies, to deploy in the real world

#### Cons

- Time consuming
- May include suboptimal, noise and diverse ways to perform the task
- When you imitate, you cannot surpass the "expert".

## Learning from task rewards

Pros

- Cheap supervision
- Optimizes the right end task, as encoded in the task rewards
  Cons
- Super sample inefficient impossible to have in the real world right now
- Initial policy is random thus unsafe to deploy in the real world

#### Goals

- More sample efficient that RL alone
- Good/safe initial performance
- Outperform the human expert

**Challenges for kinesthetic demonstrations** 

• Handling expert sub optimality

Additional challenges for learning from video demonstrations

- requires visual perception
- requires handling mismatch between imitator and demonstrator action spaces

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Additional challenges for learning from video demonstrations

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- requires handling mismatch between imitator and demonstrator action spaces

- Initialize the replay buffer with demos (which will be later either removed, or kept forever) and start your model-free RL method
- Pre-train the model-free RL method (a policy and a consistent with it value function) with a demonstration only buffer, then fine-tune it.
- Combine imitation and task rewards
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# On policy versus off policy training

- RL on policy: methods that improve a policy that is used to collect the data used for such improvement
- RL off policy: methods that improve a policy that is not the same with the policy that collected the data used for such improvement. E.g., that data can come from demonstrations!

## Off-policy RL seen so far

- Off-policy RL learns from data collected under a behavioral policy different than the current policy.
- In what we have seen thus far, "off-policy" transitions are generated from earlier versions of the current policy.
- They are thus heavily correlated to the current policy.
- Not that much off-policy after all.

## Batch RL

- Batch RL learns from a fixed experience buffer that does not grow with data collected from a near on policy exploratory policy.
- This is truly off-policy RL.
- Q:Who could have provided such an experience buffer?
- A: A set of expert demonstrations.

- DDPG (behavioral): (what we have seen in the course) a DDPG policy based on which actions are selected (with small exploration noise) and the experience buffer is populated.
- (Truly) Off-policy DDPG: a DDPG policy that uses experience tuples from the buffer, it does not influence in any way the data collected in the buffer

- Final buffer: We train a DDPG agent for 1 million time steps, adding N (0, 0.5) Gaussian noise to actions for high exploration, and store all experienced transitions. This collection procedure creates a dataset with a diverse set of states and actions, with the aim of sufficient coverage.
- Concurrent: We concurrently train the off-policy and behavioral DDPG agents, for 1 million time steps. To ensure sufficient exploration, a standard N (0, 0.1) Gaussian noise is added to actions taken by the behavioral policy. Each transition experienced by the behavioral policy is stored in a buffer replay, which both agents learn from. As a result, both agents are trained with the identical dataset.
- Imitation: A trained DDPG agent acts as an expert, and is used to collect a dataset of 1 million transitions, and populates a buffer, from which the off policy agent learns.



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### Agent orange and agent blue are trained with...

### 1. The same off-policy algorithm (DDPG).

2. The same dataset.

### The Difference?

#### 1. Agent orange: Interacted with the environment.

- Standard RL loop.
- Collect data, store data in buffer, train, repeat.

#### 2. Agent blue: Never interacted with the environment.

• Trained with data collected by agent orange concurrently.

- 1. Trained with the same off-policy algorithm.
- 2. Trained with the same dataset.
- 3. One interacts with the environment. One doesn't.

### **Off-policy** deep RL fails when **truly off-policy**.

### why?

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The Q value estimates are higher than their GT values

# Why model-free RL does not work with fixed experience buffers?

Extrapolation error:

The Q-function trained from a fixed experience buffer has no way of knowing whether the actions not contained in the buffer are better or worse.

# Why model-free RL does not work with fixed experience buffers?

Extrapolation Error

# $Q(s,a) \leftarrow r + \gamma Q(s',a')$

 $Q(s,a) \leftarrow r + \gamma Q(s',a')$ **GIVEN GENERATED** 

# Q learning

### Extrapolation Error

$$Q(s,a) \leftarrow r + \gamma Q(s',a')$$
  
1.  $(s,a,r,s') \sim Dataset$   
2.  $a' \sim \pi(s')$ 

$$a' = \pi(s') = \operatorname{argmax}_a Q_{\theta}(s', a)$$

$$Q(s,a) \leftarrow r + \gamma Q(s',a')$$
  
(s',a') \notin Dataset  $\rightarrow Q(s',a') = bad$   
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# Attempting to evaluate $\pi$ without (sufficient) access to the (s, a) pairs $\pi$ visits.

# Solution: Batch constrained RL

A policy which only traverses *transitions contained in the batch can* be evaluated without error.

BCQ learns a policy with a similar state-action visitation to the data in the batch

$$Q(s,a) \leftarrow (1-\alpha)Q(s,a) + \alpha(r+\gamma \max_{a's.t.(s',a')\in\mathcal{B}}Q(s',a')).$$

# Solution: Batch constrained RL

BCQ learns a policy with a similar state-action visitation to the data in the batch.

Train a generative model to provide action samples that match the action samples in the batch:

$$\begin{aligned} \pi(s) &= \operatorname*{argmax}_{a_i + \xi_{\phi}(s, a_i, \Phi)} Q_{\theta}(s, a_i + \xi_{\phi}(s, a_i, \Phi)), \\ &\{a_i \sim G_{\omega}(s)\}_{i=1}^n. \end{aligned}$$

A state conditioned generative model that predicts actions given a state that are contained in the batch B

## Learning stochastic generative models

 As we vary the input noisy samples z, we land in a different plausible action a.



## **Conditional VAE**



 $\min_{\phi} \quad D_{KL}(Q(z|X,Y)||P(z|\mathcal{D}) = \min_{\phi} \quad D_{KL}(Q(z|X,Y)|P(z)) - \mathbb{E}_Q \log P(\mathcal{D}|z)$ 

Tutotial on variational Autoencoders, Doersch

#### Algorithm 1 BCQ

**Input:** Batch  $\mathcal{B}$ , horizon T, target network update rate  $\tau$ , mini-batch size N, max perturbation  $\Phi$ , number of sampled actions n, minimum weighting  $\lambda$ . Initialize Q-networks  $Q_{\theta_1}, Q_{\theta_2}$ , perturbation network  $\xi_{\phi}$ , and VAE  $G_{\omega} = \{E_{\omega_1}, D_{\omega_2}\}$ , with random parameters  $\theta_1$ ,  $\theta_2$ ,  $\phi$ ,  $\omega$ , and target networks  $Q_{\theta'_1}, Q_{\theta'_2}, \xi_{\phi'}$  with  $\theta'_1 \leftarrow \theta_1, \theta'_2 \leftarrow \theta_2, \phi' \leftarrow \phi$ . **for** t = 1 **to** T **do** 

Sample mini-batch of N transitions (s, a, r, s') from  $\mathcal{B}$   $\mu, \sigma = E_{\omega_1}(s, a), \quad \tilde{a} = D_{\omega_2}(s, z), \quad z \sim \mathcal{N}(\mu, \sigma)$   $\omega \leftarrow \operatorname{argmin}_{\omega} \sum (a - \tilde{a})^2 + D_{\mathrm{KL}}(\mathcal{N}(\mu, \sigma)||\mathcal{N}(0, 1))$ Sample n actions:  $\{a_i \sim G_{\omega}(s')\}_{i=1}^n$ Perturb each action:  $\{a_i = a_i + \xi_{\phi}(s', a_i, \Phi)\}_{i=1}^n$ Set value target y (Eqn. 13)  $\theta \leftarrow \operatorname{argmin}_{\theta} \sum (y - Q_{\theta}(s, a))^2$   $\phi \leftarrow \operatorname{argmax}_{\phi} \sum Q_{\theta_1}(s, a + \xi_{\phi}(s, a, \Phi)), a \sim G_{\omega}(s)$ Update target networks:  $\theta'_i \leftarrow \tau \theta + (1 - \tau)\theta'_i$   $\phi' \leftarrow \tau \phi + (1 - \tau)\phi'$ end for

$$r + \gamma \max_{a_i} \left[ \lambda \min_{j=1,2} Q_{\theta'_j}(s', a_i) + (1 - \lambda) \max_{j=1,2} Q_{\theta'_j}(s', a_i) \right]$$





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## What should be our imitation reward?

- The trained policy should match the actions of the expert on the demonstration states
- The trained policy should visit the same state distribution as the demonstration trajectories.
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We will use generative adversarial network for state distribution matching!

#### State-action distribution matching objective

 The state-action distribution from the expert trajectories and the state-action distribution that the agent visits by deploying the policy in the environment need to match.

- New solution to the compounding error problem of BC!
- Let's see how we can optimize this distribution matching objective!

#### **BC Maximizes Conditional Likelihood**



$$\theta * = \underset{\theta}{\operatorname{arg\,max}} \mathbb{E}_{x \sim p_{\text{data}}} \log p_{\text{model}}(x \mid \theta) \\ \underset{\theta}{\operatorname{brack}} \log \pi_{\theta}(\mathbf{a}_t \mid \mathbf{s}_t)$$

explicit density

extra conditioning information

$$\mathcal{L}_{BC}(\theta, \mathcal{T}) = \mathbb{E}_{(s_t^j, a_t^j) \sim \mathcal{T}} \left[ \|a_t^j - \pi_{\theta}(s_t^j)\|_2^2 \right]$$

#### **BC Maximizes Conditional Likelihood**

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- Makes the expert actions most likely in the states of the expert trajectories.
- But what about the states not on the expert trajectories? There the actions are unconstrained!

#### Distribution mismatch (distribution shift)

 $P_{\pi^*}(\mathbf{0}_t) \neq P_{\pi_\theta}(\mathbf{0}_t)$ 



## Adversarial Nets Framework



$$\min_{G} \max_{D} V(D,G) = \mathbb{E}_{x \sim p_{data}(x)}[\log D(x)] + \mathbb{E}_{z \sim p_{z}(z)}[\log(1 - D(G(z)))]$$



(Goodfellow 2016)



Figure 3: (a) Updating the discriminator. (b) Updating the generator.

#### A Generator network (DCGAN)



(Radford et al 2015)

 $(Goodfellow \ 2016)$ 

# Training Procedure

- Use SGD-like algorithm of choice (Adam) on two minibatches simultaneously:
  - A minibatch of training examples
  - A minibatch of generated samples
- Optional: run k steps of one player for every step of the other player.



Questions:

What if the generator maps all noise vectors to a single super photorealistic image?

What if we train the discriminator till convergence (it is just a supervised classifier...) and becomes perfect in distinguishing real from generated images?

#### A minimax game

# $\min_{G} \max_{D} V(D,G) = \mathbb{E}_{x \sim p_{data}(x)}[\log D(x)] + \mathbb{E}_{z \sim p_{z}(z)}[\log(1 - D(G(z)))]$

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$$V(D,G) = \int_{x} p_{\text{data}}(x) \log \frac{D(x)}{D(x)} dx + \int_{z} p_{z}(z) \log(1 - \frac{D(G(z))}{D(z)}) dz$$

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$$V(D,G) = \int_{x} p_{\text{data}}(x) \log \frac{D(x)}{D(x)} + p_G(x) \log(1 - \frac{D(x)}{D(x)}) dx$$

The discriminator assigns values D(x) to each image x. Let's take the derivative to see where the optimum is attained.

$$V(D,G) = \int_{x} p_{\text{data}}(x) \log \frac{D(x) + p_G(x) \log(1 - D(x))}{dx}$$
$$\frac{d}{dD(x)} \left( p_{\text{data}}(x) \log D(x) + p_G(x) \log(1 - D(x)) \right) = 0$$

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$$\Leftrightarrow D^{*}(x) = \frac{p_{data}(x)}{p_{data}(x) + p_{G}(x)}$$

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$$C(G) = \max_{D} V(G, D)$$
  
=  $\mathbb{E}_{x \sim p_{data}(x)} [\log \frac{p_{data}(x)}{p_{data}(x) + p_G(x)}] + \mathbb{E}_{x \sim p_G(x)} [\log(\frac{p_G(x)}{p_{data}(x) + p_G(x)})]$   
=  $2D_{\text{JSD}} \left( p_{data}(x) || p_G(x) \right) - \log 4$ 

Since  $D_{JSD} \ge 0$ ,  $C(G) \ge -\log 4$ 

By setting  $P_G(x) = p_{data}(x)$  in the equation above, we get:

$$C(G) = \mathbb{E}_{x \sim p_{data}(x)} \log \frac{1}{2} + \mathbb{E}_{x \sim p_G(x)} \log \frac{1}{2} = -\log 4$$

Thus generator achieves the optimum when  $P_G(x) = p_{data}(x)$ .

### Next Video Frame Prediction



(Lotter et al 2016)

#### Maybe an explanation of why GANs work



#### Generative Adversarial Imitation learning

The policy network will be our generator, that conditions on the state:

 $\pi_{\theta}(S) \to a$ 

#### Generative Adversarial Imitation learning

Find a policy  $\pi_{\theta}$  that makes it impossible for a discriminator network to distinguish between state-actions from the expert demonstrations and state-action pairs visited by the agent's policy  $\pi_{\theta}$ :

$$\min_{\substack{\pi_{\theta} \\ D_{\phi}}} \mathbb{E}_{(s,a) \sim \pi_{\theta}}[-\log(D_{\phi}(s,a))]$$

$$\min_{\substack{D_{\phi} \\ D_{\phi}}} \mathbb{E}_{(s,a) \sim \text{Demo}}[\log(1 - D_{\phi}(s,a))] + \mathbb{E}_{(s,a) \sim \pi_{\theta}}[\log(D_{\phi}(s,a))]$$

The reward for the policy optimization is how well I matched the demonstrator's trajectory distribution, else, how well I confused the discriminator.

$$r(s,a) = \log D_{\phi}(s,a), (s,a) \sim \pi_{\theta}$$
### Generative Adversarial Imitation learning

**Input**: Expert trajectories , initial policy parameters  $\theta_0$  and initial discriminator weights  $\phi_0$ . **For** i=0,1,2,3... **do** 

- 1. Sample agent trajectories  $\tau_i \sim \pi_{\theta_i}$
- 2. Update the discriminator parameters with the gradient:

$$\mathbb{E}_{(s,a)\sim\text{Demo}}[\nabla_{\phi}\log(1-D_{\phi}(s,a))] + \mathbb{E}_{(s,a)\in\tau_i}[\nabla_{\phi}\log(D_{\phi}(s,a))]$$

3. Update the policy using a policy gradient computed with the rewards, e.g., the REINFORCE policy gradient would be:

$$\mathbb{E}_{(s,a)\in\tau_i}[\nabla_{\theta}\log\pi_{\theta}\log D_{\phi_{i+1}}(s,a)]$$

end for

### Generative Adversarial Imitation learning



- GAIL: a reinforcement learning method with a reward based on trajectory distribution matching between the agent and an expert.
- BC: reduces imitation learning to supervised learning for individual actions.
- GAIL performs better than behaviour cloning but it requires MORE interactions with the environment.
- Q:Can BC or GAIL outperform the expert?

#### Combining imitation and task rewards

#### $r(s,a) = \lambda r_{GAIL}(s,a) + (1-\lambda)r_{task}(s,a), \quad \lambda \in [0,1].$

#### Combining imitation and task rewards

 $\min_{G} \max_{D} V(D,G) = \mathbb{E}_{x \sim p_{data}(x)}[\log D(x)] + \mathbb{E}_{z \sim p_{z}(z)}[\log(1 - D(G(z)))]$ 

$$r(s,a) = \lambda r_{GAIL}(s,a) + (1-\lambda)r_{task}(s,a), \quad \lambda \in [0,1].$$

$$r_{GAIL}(s, a) = -\log(1 - D(s, a))$$

#### **Reinforcement and Imitation Learning** for Diverse Visuomotor Skills

Yuke Zhu<sup>†</sup> Ziyu Wang<sup>‡</sup> Josh Merel<sup>‡</sup> Andrei Rusu<sup>‡</sup> Tom Erez<sup>‡</sup> Saran Tunyasuvunakool<sup>‡</sup> János Kramár<sup>‡</sup> Nando de Freitas<sup>‡</sup> Raia Hadsell<sup>‡</sup> <sup>†</sup>Computer Science Department, Stanford University, USA <sup>‡</sup>DeepMind, London, UK

Serkan Cabi<sup>‡</sup> Nicolas Heess<sup>‡</sup>

- Combine imitation and task rewards.
- Start episodes by setting the world in states of the demonstration trajectories. This means we can reset the world however we like, and that we have full state information to be able to set our simulator to it. (Have we done this earlier?)
- Asymmetric actor-critic: the value network takes as input the low-dim state of the system and the policy is trained from pixels.
- Only scene state info to the discriminator
- Co-train the policy CNN with auxiliary task
- Sim2REAL via domain randomization.

# Reinforcement and Imitation Learning for Diverse Visuomotor Skills

Yuke Zhu<sup>†</sup> Ziyu Wang<sup>‡</sup> Josh Merel<sup>‡</sup> Andrei Rusu<sup>‡</sup> Tom Erez<sup>‡</sup> Serkan Cabi<sup>‡</sup> Saran Tunyasuvunakool<sup>‡</sup> János Kramár<sup>‡</sup> Raia Hadsell<sup>‡</sup> Nando de Freitas<sup>‡</sup> Nicolas Heess<sup>‡</sup> <sup>†</sup>Computer Science Department, Stanford University, USA <sup>‡</sup>DeepMind, London, UK

- Input: video demonstrations (without rewards Combine imitation and task rewards.
- Start episods by setting the world in states of the demonstration trajectories. This
  means we can reset the world however we like, and that we have full state
  information to be able to set our simulator to it. (Have we done this earlier?)
- Asymetric actor-critic: the value network takes as input the low-dim state of the system (3D object location and velocities and relative distances between objects and the gripper) and the policy is trained from pixels directly. This means we need to have access to such state information at training time, but not at test time.
- Only scene state info to the discriminator
- Co-train the policy CNN with auxiliary task
- Sim2REAL via domain randomization.

# Reinforcement and Imitation Learning for Diverse Visuomotor Skills

Yuke Zhu<sup>†</sup> Ziyu Wang<sup>‡</sup> Josh Merel<sup>‡</sup> Andrei Rusu<sup>‡</sup> Tom Erez<sup>‡</sup> Serkan Cabi<sup>‡</sup> Saran Tunyasuvunakool<sup>‡</sup> János Kramár<sup>‡</sup> Raia Hadsell<sup>‡</sup> Nando de Freitas<sup>‡</sup> Nicolas Heess<sup>‡</sup> <sup>†</sup>Computer Science Department, Stanford University, USA <sup>‡</sup>DeepMind, London, UK

- Combine imitation and task rewards.
- Start episods by setting the world in states of the demonstration trajectories.
- Assymetric actor-critic: the value network takes as input the low-dim state of the system and the policy is trained from pixels.
- Only scene state info to the discriminator
- Co-train the policy CNN with auxiliary task: map images to object locationswith regression and minimize L2 loss. Any object detection/semantic labelling task would work, e.g., learning to detect the robot's gripper is also a useful auxiliary task for training the visual features.
- Sim2REAL via domain randomization.







(a) Ablation study of model components

(b) Model sensitivity to  $\lambda$  values

- Learning value function from pixels directly is slow
- Not using the GAIL imitation reward but rather using demos just to start episodes in demo states is slow
- No task reward (just imitation) seems not to work. Why?
- No RNN policy: no problem, RNNs are not great way to integrate info over visual frames.
- No auxiliary task: not big problem.
- Not masking arm info from the discriminator creates problems